



## Influence of natural deposition plane orientation on oedometric consolidation behavior of three typical clays from southeast coast of China<sup>\*</sup>

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**Abstract:** The parameters obtained from oedometric consolidation tests are commonly used in the development of constitutive modeling and for engineering practice. This paper focuses on the influence of the natural deposition plane orientation on oedometric consolidation behavior of three natural clays from the southeast coast of China. Oedometer tests were conducted on intact specimens prepared by sampling at a series of angles relative to the natural deposition plane. For each specimen, yield stress, compressibility indexes, secondary compression, and permeability coefficients were determined. The influence of the sampling angle on these properties was investigated, revealing that yield stress, compression index, swelling index, creep index, ratio of secondary compression coefficient to compression index ( $C_{\alpha e}/C_c$ ) and permeability coefficient were all dependent to some extent on the sampling angle. These findings indicate the role of the anisotropy due to the natural deposition on the oedometric consolidation behavior.

**Key words:** Clay, Compressibility, Consolidation, Creep, Permeability, Natural deposition

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### 1 Introduction

Natural clayey deposits are widely distributed in the coastal regions of southeast China. In general, these deposits show diverse ground characteristics due to variations in depositional environment. Moreover, their post-depositional geotechnical characteristics, such as stress history, leaching processes, and variations in excess pore water pressure, are very

complex. These natural deposits are usually extremely soft and present considerable problems in civil engineering construction. Thus, the design and construction of projects built on these natural deposits require complete geotechnical knowledge of the natural clays therein to prevent excessive settlement-deformation and associated structural damage (Zhang, 2011). Presently, the parameters used for design and construction are usually determined from laboratory tests on clay samples that have been cut vertically. However, the mechanical behavior of natural clays cut at different angles from the deposition plane can strongly vary (Lade and Kirkgard, 2000; Shen *et al.*, 2008; Yin and Chang, 2009a; 2009b; Yin *et al.*, 2009; Huang and Liu, 2011). Therefore, the present design methods using the parameters measured from vertical clay samples may lack reliability and are likely to

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generate inaccurate predictions, resulting in engineering problems.

Parameters from oedometric consolidation tests are the most basic parameters commonly used in the development of elastoplastic or viscoplastic models and for engineering practice (Chen *et al.*, 2007; Yin and Hicher, 2008; Li Y.Q. *et al.*, 2008; Wang *et al.*, 2008, 2011; 2012; Li J.Z. *et al.*, 2009; Karstunen and Yin, 2010; Feng, 2010; Yin *et al.*, 2010; 2011; Duan *et al.*, 2012; Wang and Yin, 2012; Yin *et al.*, 2013). For instance, the compression and swelling indexes, the secondary compression coefficient and the permeability are all oedometric properties that may potentially influence decisions prior to initiating civil engineering projects. For this reason, oedometric properties of clays have been widely investigated (e.g., Miao *et al.*, 2008; Zeng *et al.*, 2011; Li *et al.*, 2012). However, these experimental studies have primarily been conducted on clay samples cut vertically, therefore having an angle with the natural deposition plane depending on its *in situ* orientation, and few have investigated the influence of the sampling angle relative to the natural deposition plane on oedometric parameters of clays.

In this study, we performed oedometer tests carried out on samples of three natural clays from Southeast China coastal regions sampled at various angles relative to the natural deposition plane. The effect of the sampling angle was experimentally quantified, and then used to study the oedometric consolidation behavior of clays, including compression, swelling, creep, and permeability properties. The implications of these findings for construction projects on clay deposits were discussed.

## 2 Clay samples and test program

### 2.1 Sampling and physical properties of clays

The clay samples, hereafter designated based on their origins, were taken from the Minhang District of

Shanghai, Liuheng Island at Zhoushan, and Puzhou at Wenzhou (Fig. 1). Some basic physical properties of the tested clays are summarized in Table 1, indicating significant silt content. Considering the percentages of silt and sand, we can describe Shanghai clay as silt clay and Zhoushan clay as slightly sandy. The Casagrande (1936)'s plasticity chart was used to classify the three clays; all are above the A-line and can therefore be considered as inorganic clays (Fig. 2).

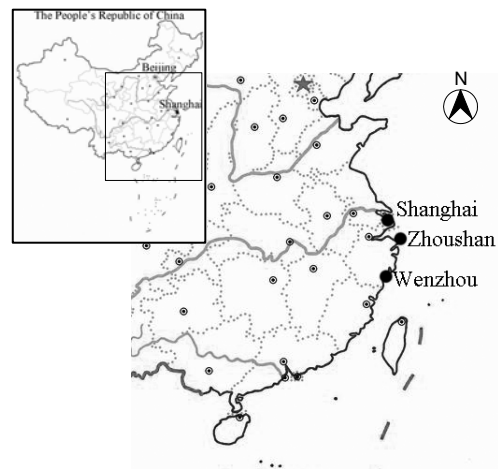


Fig. 1 Sample locations of tested clays

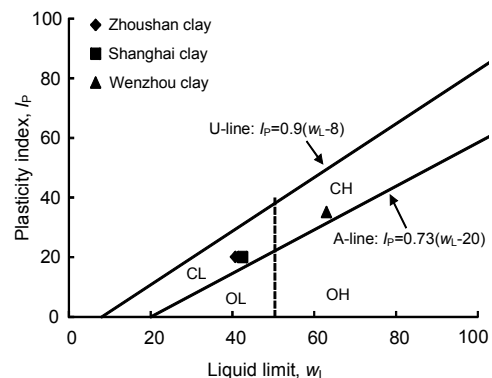


Fig. 2 Classification of clays by liquid limit and plasticity index

CL: low plastic inorganic clays, sandy, and silty clays; OL: low plastic inorganic or organic silty clays; CH: high plastic inorganic clays; OH: high plastic fine sandy and silty clays

Table 1 Physical properties of tested clays

Clay	Depth, $h$ (m)	Liquid limit, $w_L$	Plasticity index, $I_p$	Initial void ratio, $e_0$	Percentage of clay (%)	Percentage of silt (%)	Percentage of sand (%)
Shanghai	12	42.5	20	1.08	26	72.4	1.6
Zhoushan	11	40.7	20	1.13	37	57.8	5.2
Wenzhou	8	63	35	1.98	44	54.8	1.2

Shanghai clay and Zhoushan clay have low plasticity, while Wenzhou clay is high plastic clay.

The natural deposition has a significant influence on the anisotropy of clay structures. Fig. 3 shows the photos from scanning electronic microscopy (SEM) of three natural depositions in the vertical direction. These photos show clearly that the particles arrangement of natural clays is anisotropic. Using the software 'ImageJ', we analyzed the orientation of the clay particles on the vertical plane. An angular distribution plotted in a rose diagram gives the percentage of the particle surface area as a function of the particle orientation. The rose diagrams indicate a structural anisotropy with a preferential orientation of the particles towards the horizontal direction. A certain number of particles non-uniformly oriented in the 30°–150° quadrants can also be observed (Fig. 4).

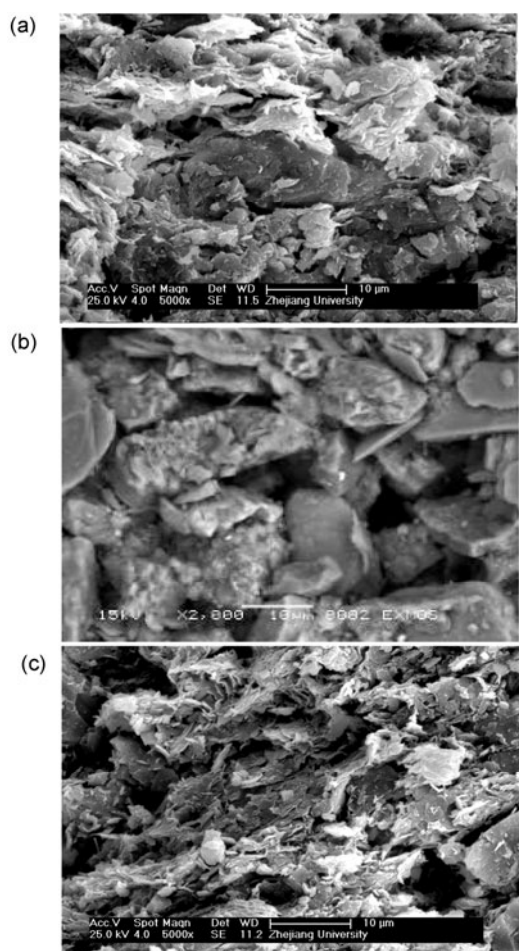


Fig. 3 SEM photos of natural depositions (on vertical plane): (a) Zhoushan clay; (b) Shanghai clay; (c) Wenzhou clay

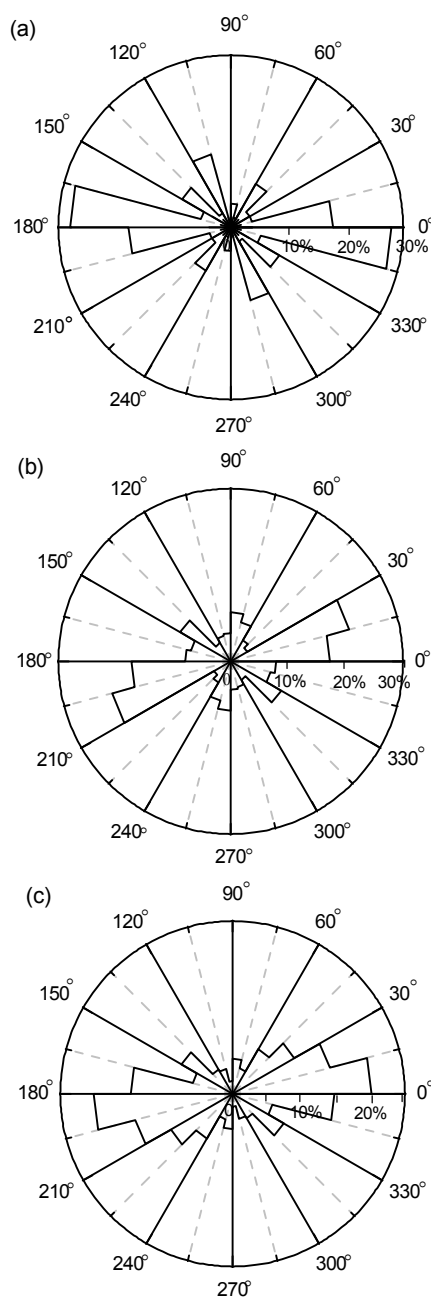
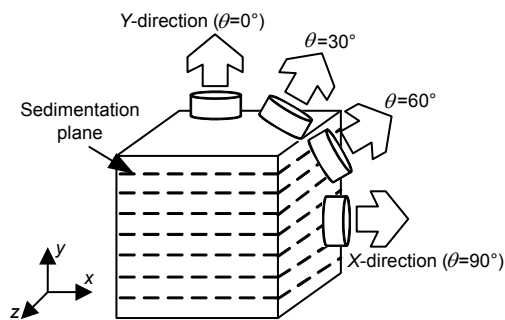


Fig. 4 Orientation of clay particles for natural depositions on vertical plane: (a) Zhoushan clay; (b) Shanghai clay; (c) Wenzhou clay

## 2.2 Test program

Fig. 5 illustrates a block of natural soil sampled from the field with the drive sampling techniques described by Clayton *et al.* (1982). The sedimentation, or natural deposition plane of the clay is assumed to mostly coincide with the *in situ* horizontal plane. To characterize the influence of the sampling angle on

the consolidation behavior of clays, specimens prepared for oedometer testing were cut from the soil block at several different angles. The specimens of Zhoushan clay were sampled at angle  $\theta$  of  $0^\circ$  (i.e., perpendicular to the deposition plane),  $30^\circ$ ,  $60^\circ$ , and  $90^\circ$  (i.e., parallel to the deposition plane), while specimens of Shanghai and Wenzhou clays were sampled at  $\theta=0^\circ$ ,  $22^\circ$ ,  $45^\circ$ ,  $68^\circ$ , and  $90^\circ$ .



**Fig. 5** Sample block of soil and sampling angle relative to natural deposition plane

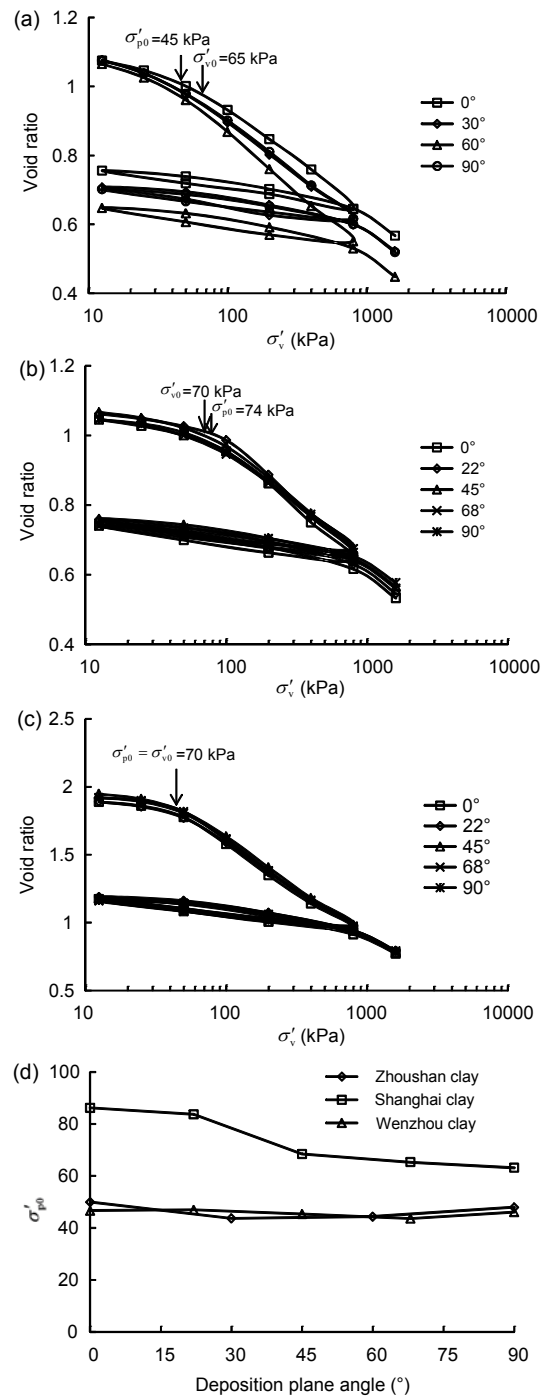
Specimens were subjected to conventional oedometer tests according to Chinese Industry Standard (1999). All laboratory tests were conducted in a standard fixed-ring oedometer with  $30 \text{ cm}^2$  brass rings (diameter  $d=61.8 \text{ mm}$ ) and  $20 \text{ mm}$  in thickness. Each specimen was subjected to successive vertical stresses of 12.5, 25, 50, 100, 200, 400, 800, 200, 50, 12.5, 50, 200, 800, and 1600 kPa, corresponding to a loading stage (12.5 to 800 kPa), an unloading stage (800 to 12.5 kPa) and a reloading stage (12.5 to 1600 kPa). Each stress step lasted 24 h.

### 3 Experimental results and analysis

#### 3.1 Yield stress

Fig. 6 shows the compression curves ( $e-\log \sigma'_v$ ) of specimens of the three clays with different sampling angles relative to the natural deposition plane. Differences among the four compression curves for Zhoushan clay were observed, with the compression curve of the  $0^\circ$  specimen exhibiting the smallest change in void ratio and the compression curve of the  $60^\circ$  specimen exhibiting the biggest change (Fig. 6a). In contrast, the five compression curves for Shanghai and Wenzhou clay specimens were highly similar, regardless of the sampling angle (Figs. 6b and 6c).

Based on these findings, the yield stress ( $\sigma'_{p0}$ ) of each specimen was determined using the Casagrande method (Casagrande, 1936). Fig. 6d shows the change in yield stresses of Zhoushan, Shanghai and Wenzhou



**Fig. 6** Compression curves of samples from (a) Zhoushan clay, (b) Shanghai clay, (c) Wenzhou clay at different deposition planes; (d) yield stress versus sampling angle of the three clays

clays functions relative to the sampling angles. It is clear that, for Shanghai clay, the value of  $\sigma'_{p0}$  is the biggest for the  $0^\circ$  sample and gradually decreases as the sampling angle increases. The difference between the maximum and minimum values of  $\sigma'_{p0}$  for Shanghai clay is about 17 kPa. However, for Zhoushan and Wenzhou clays,  $\sigma'_{p0}$  was not strongly influenced by the sampling angle. Furthermore, comparing the average measured yield stress with the *in situ* vertical effective stress indicates that Zhoushan clay is underconsolidated, Shanghai clay is slightly overconsolidated ( $OCR=\sigma'_{p0}/\sigma'_{v0}=1.06$ ) and Wenzhou clay is normally consolidated.

### 3.2 Compressibility

The compression ( $C_c$ ) and swelling ( $C_s$ ) indexes can be measured from the compression curves (Fig. 6).  $C_c$  is measured from the slope of the compression line between 200 and 800 kPa. Fig. 7a shows the change in  $C_c$  with the sampling angle for the three clays, along with the correlation coefficients for each linear regression, indicating overall that  $C_c$  varies very slightly with the sampling angle. Two values of  $C_s$  were measured:  $C_{si}$  related to the slope of the initial compression line between 12.5 and 25 kPa fully induced by the natural clay deposition, and  $C_{sr}$  related to the slope of the unloading and recompression lines additionally influenced by the first loading stage. Fig. 7b shows the change in  $C_{si}$  with the sampling angle for the three clays, putting into evidence the relatively large variations of these index values for each clay sample. Fig. 7c indicates that  $C_{sr}$  decreases slightly with the sampling angle.

### 3.3 Secondary compression

The change in void ratio occurring over one log cycle of time during the secondary compression phase is known as  $C_{ae}$ :

$$C_{ae} = \frac{\Delta e}{\Delta \log t}, \quad (1)$$

where  $e$  is the void ratio and  $t$  is the length of time since the end of the primary consolidation.  $C_{ae}$  is often used to assess the long-term deformation of clays, as it can account for the creep rate of soils (Yin *et al.*, 2010). We therefore determined  $C_{ae}$  for a variety of sampling angles for the three clays. Fig. 8

shows an example of  $C_{ae}$  values determined from plots of  $e$  vs.  $\log t$  at each load increment for the  $0^\circ$  specimen of Shanghai clay. The duration of the primary consolidation phase for Shanghai clay was

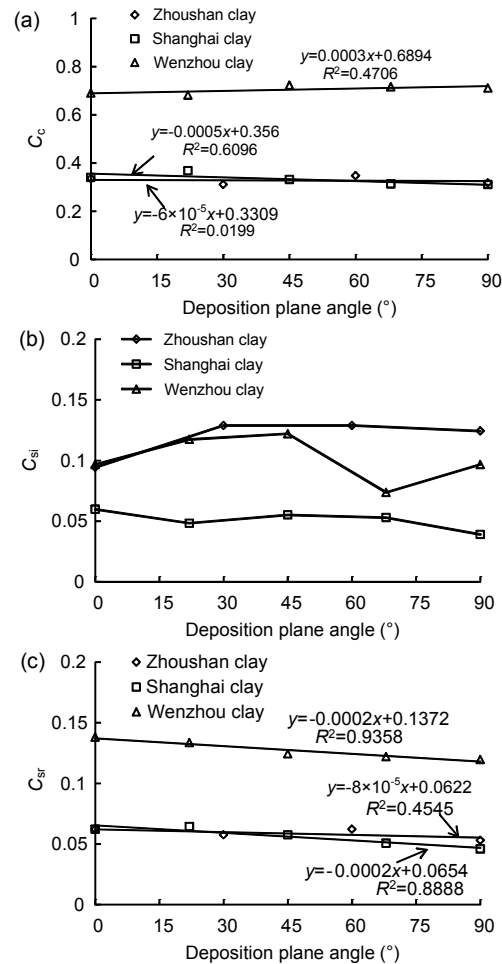


Fig. 7 Influence of sampling angle on (a) compression index, (b) swelling index for initial compression and (c) swelling index for unloading and recompression

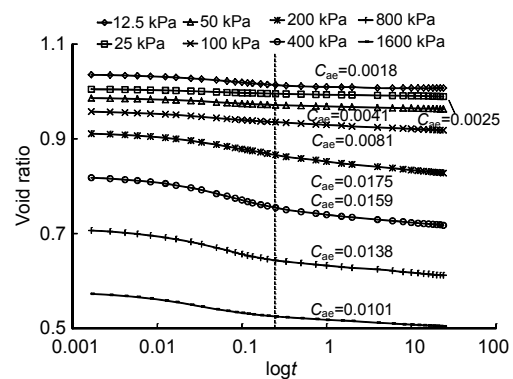


Fig. 8 Void ratio versus time for each load increment on Shanghai clay ( $0^\circ$  specimen)

determined to be about 15 min, followed by the secondary consolidation. Based on the evolution of  $e$  over time during the secondary consolidation stage,  $C_{ae}$  was calculated for each load increment using Eq. (1). This approach was used to quantify  $C_{ae}$  for all specimens.

Fig. 9 shows changes in  $C_{ae}$  for three clays sampled at different angles relative to the deposition plane, based on levels of vertical stress applied. For all three clays,  $C_{ae}$  increases with the increase in the consolidation stress regardless of the sampling angle and reaches a maximum at a stress about twice the preconsolidation pressure; thereafter,  $C_{ae}$  decreases irrespective of clay sample or sampling angle. This observation is consistent with previous studies (Mesri and Godlewski, 1977; Suneel *et al.*, 2008) on various

clays in the vertical direction.

Note that, for each clay, all samples have approximately the same initial void ratio, then the relationship between secondary compression  $C_{ae}$  and vertical stress can also be considered an expression of the relationship between  $C_{ae}$  and void ratio upon completion of the test. We analyzed the relationship between  $C_{ae}$  and the void ratio within the normally consolidated range of the clays, as shown in Fig. 10.  $C_{ae}$  appears to decrease roughly linearly with the void ratio in the double logarithm plane, which is in agreement with the evolution suggested by Yin *et al.* (2012). Meanwhile, the sampling angle relative to the natural deposition plane has a visible influence on the relationship between  $C_{ae}$  and the void ratio that also depends on the clay. For Zhoushan clay,  $C_{ae}$  is the

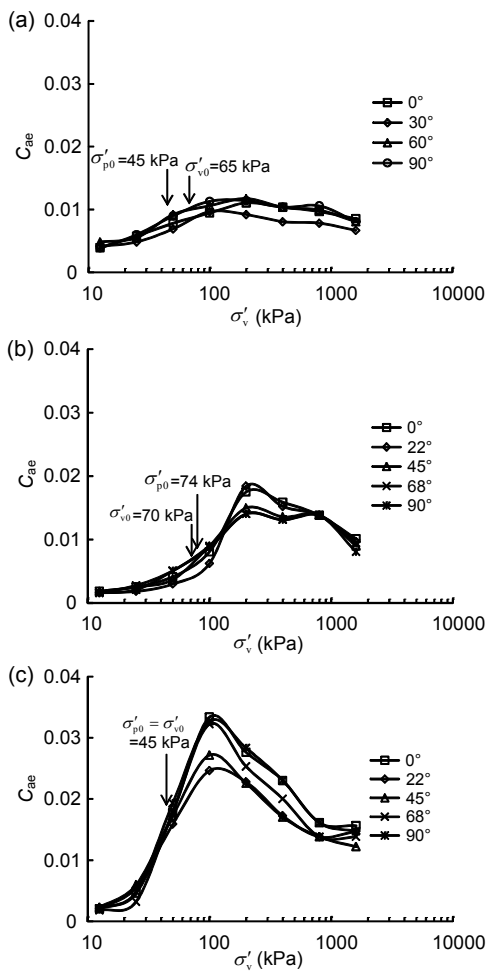


Fig. 9 Relationship between  $C_{ae}$  and vertical stress for three clays sampled at different angles relative to their deposition planes: (a) Zhoushan clay, (b) Shanghai clay and (c) Wenzhou clay

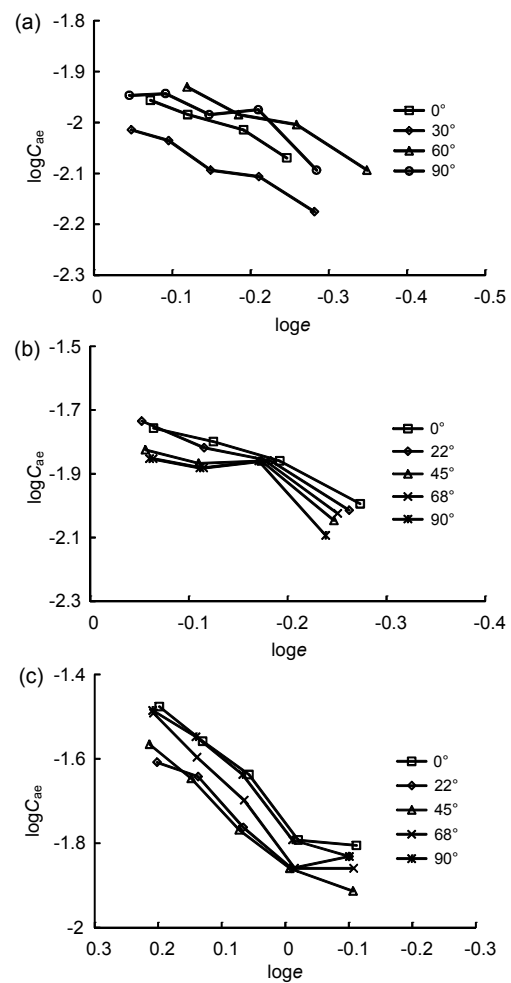


Fig. 10 Relationship between  $C_{ae}$  and  $e$  in the normally consolidated range for three clays with different sampling angles: (a) Zhoushan clay, (b) Shanghai clay, and (c) Wenzhou clay

lowest for the 30° specimen (Fig. 10a). However, for Shanghai clay,  $C_{ae}$  generally decreases with an increase in the sampling angle (Fig. 10b), and  $C_{ae}$  in Wenzhou clay increases with an increase in the sampling angle, apart from the 0° specimen, which has the highest  $C_{ae}$  for this clay (Fig. 10c).

For each clay we selected the maximum value of  $C_{ae}$  and the value of  $C_{ae}$  at the vertical stress of 1600 kPa to represent the creep properties of the natural deposit from which the samples had been taken. Plotting the relationship between the maximum of  $C_{ae}$  and the sampling angle for each of the three clays shows that  $C_{ae}$  varies from 0.024 to 0.033 for the highly plastic Wenzhou clay (Fig. 11a), whereas  $C_{ae}$  has a much smaller range for the low plasticity Shanghai clay ( $C_{ae}=0.014-0.018$ ) and Zhoushan clay ( $C_{ae}=0.010-0.012$ ). Notably,  $C_{ae}$  corresponding to a vertical stress of 1600 kPa varies slightly with the deposition plane angle, likely because the soil microstructure was modified towards a similar state during loading up to a high stress level (Fig. 11b).

### 3.4 $C_{ae}/C_c$ ratio

Mesri and Godlewski (1977) summarized previously published  $C_{ae}/C_c$  values for a number of

natural soils and found that this ratio ranged overall between 0.025–0.010. Mesri and Castro (1987) concluded that  $C_{ae}/C_c=0.04\pm 0.01$  for most inorganic clays.

Fig. 12 presents the relationships between  $C_{ae}$  and  $C_c$  for all samples of the three clays. The results show that  $C_{ae}/C_c$  ranges between 0.021 and 0.047 for

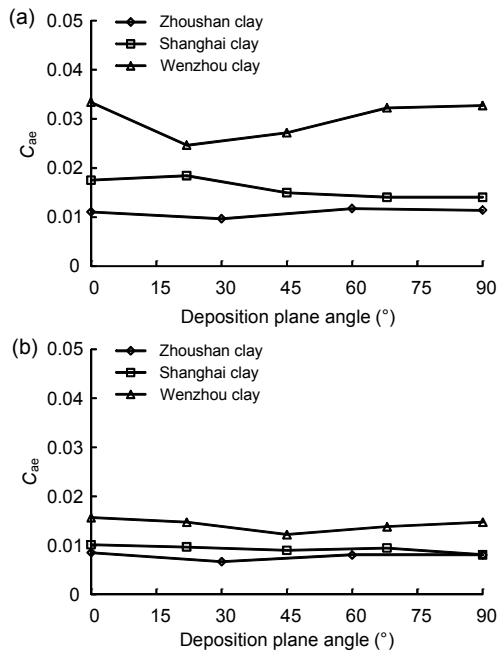


Fig. 11 Relationship between  $C_{ae}$  and deposition plane angle for three clays: (a) maximum  $C_{ae}$ ; (b)  $C_{ae}$  corresponding to a vertical stress of 1600 kPa

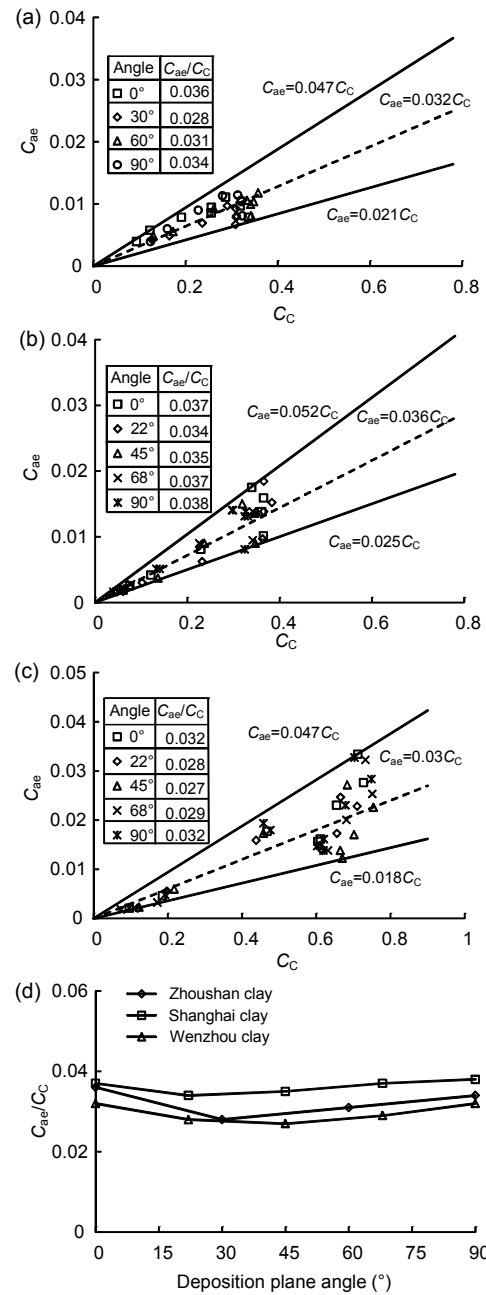


Fig. 12 Relationship between  $C_{ae}$  and  $C_c$  for (a) Zhoushan, (b) Shanghai and (c) Wenzhou clays; and (d) average  $C_{ae}/C_c$  versus sampling angle for the three clays

Zhoushan clay with an average value of 0.032 (Fig. 12a), between 0.025 and 0.052 for Shanghai clay with an average value of 0.036 (Fig. 12b), and between 0.018 and 0.047 for Wenzhou Clay with an average value of 0.03 (Fig. 12c). Tables inset within Fig. 12 list the average values of  $C_{ae}/C_c$  for each of the clay sampling angles, and these data were used to plot the change in  $C_{ae}/C_c$  as a function of the sampling angle (Fig. 12d). For the three clays,  $C_{ae}/C_c$  first decreases, then increases as the sampling angle increased, with 0° and 90° samples generating the largest  $C_{ae}/C_c$ .

### 3.5 Permeability

The permeability coefficient  $k$  is calculated by

$$k = C_v \gamma_w m_v, \quad (2)$$

where  $C_v$  is the coefficient of consolidation,  $\gamma_w$  is the unit weight of water and  $m_v$  is the coefficient of volume change. Since  $C_v$  and  $m_v$  varied with the sampling angle relative to the deposition plane, we calculated  $C_v$  from strain-time curves using the Terzaghi 1D consolidation theory.

Fig. 13 shows the  $e$ - $\log k$  relationships for Zhoushan, Shanghai and Wenzhou clays tested at different sampling angles relative to the natural deposition plane. The results show that the permeability coefficient  $k$  decreases linearly with the decrease in the void ratio in a logarithmic plane for all specimens.

The initial permeability  $k_0$  and the permeability index  $C_k$  are widely used in engineering calculations; hence, their changes in the sampling angle were used to evaluate the effects of the orientation of the natural deposition plane. Eq. (3) proposed by Berry and Poskitt (1972), i.e.,

$$k = k_0 \times 10^{(e-e_0)/C_k}, \quad (3)$$

was used to determine the two permeability parameters  $k_0$  and  $C_k$  based on  $k$ ,  $e$ , and  $e_0$ . Given the initial void ratio  $e_0$  and the linear regression between  $e$  and  $\log k$  for different sampling angles, the corresponding  $k_0$  could be calculated. Meanwhile,  $C_k$  is the slope of the corresponding regression line, such as that plotted in Fig. 13a. We thereby determined  $k_0$  and  $C_k$  for all samples. Fig. 14a shows that the sampling angle in-

fluences  $k_0$  in a positive, linear manner, with regression slope values of 0.0089, 0.0074, and 0.0050 for Zhoushan, Shanghai, and Wenzhou clays, respectively. The  $k_0$  value for the vertical samples (0°) is the smallest, and the largest for the horizontal samples (90°) for all three clays. Previous results (O’Kelly, 2006) showed that the ratio of permeability values for horizontal relative to vertical samples is always larger than 1.0, and the present data are consistent with this finding. On the other hand, plotting  $C_k$  versus the sampling angle shows no significant influence of the latter on  $C_k$  (Fig. 14b).

### 4 Discussion

We found that the yield stress of Shanghai clay gradually decreases with the sampling angle, with

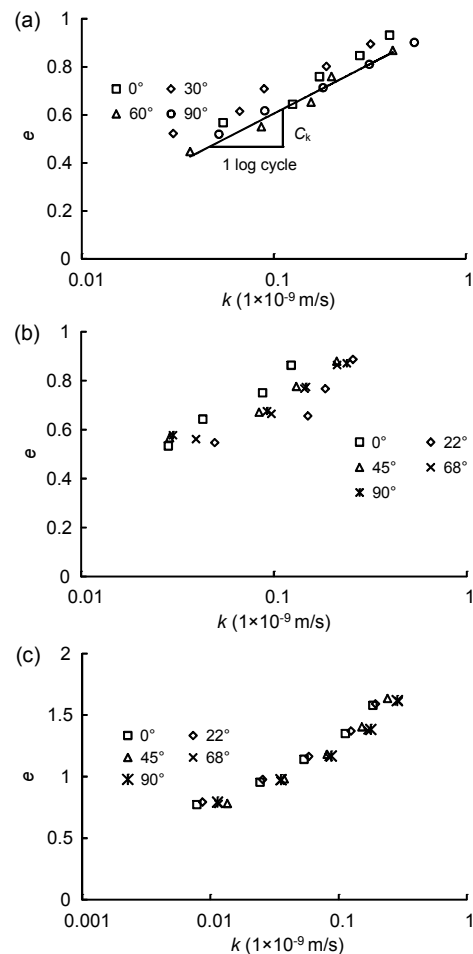
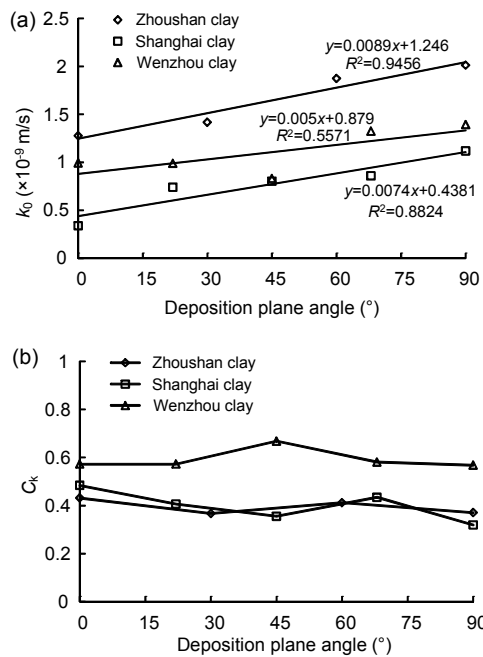


Fig. 13 Evolution of permeability with void ratio for clays from (a) Zhoushan, (b) Shanghai and (c) Wenzhou





**Fig. 14** Relationship between  $k_0$  and sampling angle for three clays (a); Relationship between  $C_k$  and sampling angle for three clays (b)

about 17 kPa difference between the maximum and minimum  $\sigma'_{p0}$ . For Zhoushan and Wenzhou clays, however,  $\sigma'_{p0}$  does not strongly vary. Furthermore, the highly plastic Wenzhou clay has a large range of  $C_{ae}$  that varies with the sampling angle, while the low plasticity Zhoushan and Shanghai clays have small ranges of  $C_{ae}$  values. Similar phenomena can be found for  $C_{si}$  and  $C_k$ . Consequently, we can conclude that the anisotropic behavior is more obvious for clays of high plasticity.

For isotropic compression, experimental evidence suggests that the initial anisotropy is erased by isotropic loading up to a pressure that is two or three times larger than the preconsolidation pressure (Leoni *et al.*, 2008). If adopting this in an oedometer test, by assuming  $K_0=0.5$  (friction angle  $\phi=30^\circ$ ), the initial anisotropy will be removed after a vertical stress larger than 1.3 or 2 times preconsolidation pressure and the current conditions can be regarded as yielding. Fig. 11 shows the relationships between the maximum value of  $C_{ae}$  (before yielding) and the value of  $C_{ae}$  at the vertical stress of 1600 kPa (after yielding) and the sampling angle. The significant influence of initial anisotropy of clays before yielding on the

anisotropic behavior of  $C_{ae}$  can be observed. As the compression index  $C_c$  and swelling index  $C_{sr}$  was measured after yielding, and  $C_{si}$  was measured before yielding of clay,  $C_c$  and  $C_{sr}$  vary slightly with the sampling angle but not  $C_{si}$  as shown in Fig. 7, also demonstrating the rationality of the above observation. In addition, the evolution of initial permeability  $k_0$  with the sampling angle for three clays also gives positive supports (Fig. 14).

## 5 Conclusions

The effect of the orientation of the natural deposition plane on oedometric consolidation behavior of three natural clays from coastal regions of Southeast China was investigated by conducting a series of oedometer tests on specimens prepared by sampling a single clay block at a series of angles relative to the natural deposition plane. Conclusions can be drawn as follows.

1. The anisotropic behavior is more obvious for clays of highly plasticity. The highly plastic Wenzhou clay has a large range of  $C_{ae}$  that varies with the sampling angle, while the low plasticity Zhoushan and Shanghai clays have small ranges of  $C_{ae}$  values. Similar phenomena can be found for  $C_{si}$  and  $C_k$ . The increase in  $k_0$  with the sampling angle is smaller for high plasticity Wenzhou clay than for low plasticity Zhoushan and Shanghai clays.

2. The initial anisotropy of clays before yielding has a significant influence on the anisotropic behavior of clays. The maximum value of  $C_{ae}$  varies very much and the value of  $C_{ae}$  corresponding to a vertical stress of 1600 kPa varies slightly with the deposition plane angle for all three clays. The compression index  $C_c$  and swelling index  $C_{sr}$  were found to vary slightly with the sampling angle, but not  $C_{si}$ . The initial permeability  $k_0$  depends much on the sampling angle for the three clays which are relevant to the initial anisotropy of clays.

The results of this study clearly show the anisotropic oedometric consolidation behavior of natural clays. It is meaningful to determine the anisotropic parameters associated with oedometric consolidation and use them for engineering designs and projects on clays. The perspectives of this study are now to relate

these behaviors at the specimen size to microstructural analyses in order to better understand the physical origins of the material anisotropy.

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